



# AJCON GLOBAL

ISO 9001:2008 Certified Company

Ajcon Global Services Ltd.

408, Express Zone, A Wing, Cello –Sonal Realty,

Near Patels, Western Express Highway,

Malad (E), Mumbai - 63.

Tel : 022 - 67160400 Fax : 28722062

**Equity ♦ Commodity ♦ Currency ♦ D.P. ♦ Advisory Services**  
**Investment Banking - Category I Merchant Bankers**

## AJCON GLOBAL SERVICES LIMITED

(Stock Broker- Members NSE/BSE/MCX-SX/ OTCEI)

(Depository Participant)

## **Policy on dissemination of Market related Information**

Policy created by : Compliance Head Ankit Ashok Ajmera (Executive Director/Compliance Officer)	Policy created on : 01-04-2013
Policy reviewed by : Compliance Head Ankit Ashok Ajmera (Executive Director/Compliance Officer)	Registeres Office: 101,Samarth,Off Hinduja Hospital 151 LT P.N.Kotnis Road,Mahim West, Mumbai 400016
Approval authority : Manging Director Ashok Kumar Ajmera (CEO)	Corporate office: 408, Express Zone, Cello –Sonal Realty, Western Express Highway, Goregaon East, Mumbai-400063
Policy approved by : Manging Director Ashok Kumar Ajmera (CEO)	Policy approved on : 01-04-2013
Periodicity of Review periodicity : Yearly	Last reviewed on : 01-04-2014
Version number : 1.2	Effective date of implementation : 01-04-2013
Officer responsible for implementation : Compliance Officer- Ankit Ashok Ajmera	AGSL-Ajcon Global Services Ltd



**Title:** Frame the Policy for implementation of proper internal code of conduct and adequate internal controls to curb rumours unauthenticated news being circulated.

**Coverage:** Head office, all the branches of the Company and all the franchisees of the company wherever trading terminals / IBT terminals are there.

**Procedures:** The policy must be followed as per following procedures

1. Employees/temporary staff/voluntary workers etc. employed/working in the Offices shall not encourage or circulate rumors or unverified information obtained from client, industry, any trade or any other sources without verification.
2. Access to Blogs/Chat forums/Messenger sites etc. shall either be restricted under supervision or access shall not be allowed.
3. Logs for any usage of such Blogs/Chat forums/Messenger sites (called by any nomenclature) shall be treated as records and the same shall be maintained as specified by the respective Regulations which governing our company.
4. Any market related news received by them either in their official mail/personal mail/blog or in any other manner, shall be forwarded only after the same has been seen and approved by our Compliance Officer. If an employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for action. The Compliance Officer shall also be held liable for breach of duty in this regard.
5. Employees Should hereby be restricted to use or access Blogs or Chat Forums or Messagners via office PC's, Mobile Phones or any other electronic gadgets for discussion/advice/conveyance of news/unverified information/rumours. In case if used by any employee it must be under the supervision of Compliance Officer or Research Head.

